



Residential Room Addition Plan Submittal Requirements

This information bulletin describes the items required at the time of a residential room addition plan submittal.

1. Three sets of plans to scale:
 - a. Plot Plan (See “Plot Plan and Vicinity Map” Handout)
 - b. Floor Plan (existing building and proposed)*
 - c. Foundation Plan*
 - d. Elevations*
 - e. Framing details*
 - f. Construction material specifications (See “Minimum Construction Specifications”)
***see “Typical Single-Story Framing Section” handout.**
2. Two copies of truss calculations (if used)
3. Two copies of soils report (if used)
4. Two copies of energy compliance information. CF-1R-ADD-01-E and CF1R-ALT-02-E forms must be completed and be a part of the plans. Please see “Residential Energy Requirements for Additions & Remodels” handout for more information.
5. If the valuation of the addition and all remodel work permitted within the last two years exceeds 75 percent of the valuation of the existing structure, the project shall meet the requirements for a new single family residence. See “Single Family Residence Construction” handout.
6. All building permits with a valuation greater than \$75,000 require an approved solid waste recycle plan prior to building permit issuance. Please reference the “Construction and Demolition Debris Recycling” handout which is available at the City of Vista’s Development Service center.

ADDITIONS 1000 FT² OR LESS

CEC-CF1R-ADD-01-E (Revised 06/14)



CERTIFICATE OF COMPLIANCE		CF1R-ADD-01-E
Prescriptive Residential Additions 1,000 ft ² or less		(Page 1 of 6)
Project Name:	Date Prepared:	

A. GENERAL INFORMATION					
01	Project Name:		02	Date Prepared:	
03	Project Location:		04	Building Front Orientation (deg):	
05	CA City:		06	Number of Dwelling Units with Additions:	
07	Zip Code:		08	Fuel Type:	
09	Climate Zone:		10	Total Conditioned Floor Area (ft2) (Addition):	
11	Building Type		12	Slab Area (ft2):	
13	Project Scope:				

B. OPAQUE SURFACE DETAILS – Framed (Section 150.2(a))											
01	02	03	04	05	06		07	08	09	10	11
Tag/ID	Assembly Type	Frame Type	Frame Depth (inches)	Frame Spacing (inches)	Proposed			Appendix JA4 Reference		Required	Comments
					Cavity R-value	Continuous Insulation R-value	U-Factor	Table	Cell	U-Factor	

C. OPAQUE SURFACE DETAILS – Non-framed (Section 150.1(c)1)										
01	02	03	04	05	06	07	08	09	10	11
Tag/ID	Assembly Type	Assembly Materials	Thickness (inches)	Core Insulation R-value	Continuous Insulation R-value	U-Factor	Appendix JA4 Reference		Required	Comments
							Table	Cell	U-Factor from Package A	

ADDITIONS 1000 FT² OR LESS

CEC-CF1R-ADD-01-E (Revised 06/14)



CERTIFICATE OF COMPLIANCE		CF1R-ADD-01-E
Prescriptive Residential Additions 1,000 ft ² or less		(Page 2 of 6)
Project Name:	Date Prepared:	

D. OPAQUE SURFACE DETAILS – Mass Walls (Section 150.1(c)1)														
01	02	03	04	05	06		07		08	09	10		11	
Tag/ID	Walls Above Grade	Mass Type	Mass Thickness (inches)	Furring Strip Thickness (inches)	Proposed				Required					
					Interior Insulation		Exterior Insulation		Appendix JA4 Reference		Interior Insulation		Exterior Insulation	
					R-value	U-factor	R-value	U-factor	Table	Cell	R-value	U-factor	R-value	U-factor

E. SLAB INSULATION (Table 150.1-A)					
01	02	03	04	05	06
Floor Type	Proposed		Required		Comments
	R-value	U-factor	Insulation R-value	Insulation U-factor	

• Heated slab floors require mandatory slab insulation (see Table 110.8-A).

F. RADIANT BARRIER (Section 150.1(c)2)	
01	02
Radiant Barrier installed below the roof deck and on all gable end walls	Comment

A radiant barrier is required (for Climate Zones 2-15)

- Radiant barriers shall meet specific eligibility and installation criteria to receive energy credit for compliance with the Building Energy Efficiency Standards for low-rise residential buildings. Refer to RA4.2.1
- The emittance of the radiant barrier shall be less than or equal to 0.05 as tested in accordance with ASTM C1371 or ASTM E408.

For Prescriptive Compliance the attic shall be ventilated to provide a minimum free ventilation area of not less than one square foot of vent area for each 300 ft² of attic floor area with no less than 30 percent upper vents. Ridge vents or gable end vents are recommended to achieve the best performance. The material should be cut to allow for full airflow to the venting.

ADDITIONS 1000 FT² OR LESS

CEC-CF1R-ADD-01-E (Revised 06/14)



CERTIFICATE OF COMPLIANCE	CF1R-ADD-01-E
Prescriptive Residential Additions 1,000 ft ² or less	(Page 3 of 6)
Project Name:	Date Prepared:

G. ROOFING PRODUCTS (COOL ROOF) (Section 150.1(c)11)														
01	02	03	04	05	06				08	09	10	11		12
Mass Roof 25 lb/ft ² or greater	Roof Pitch	Method Of compliance	Product Type	CRRC Product ID Number	Proposed				Minimum Required					
					Initial Solar Reflectance	Aged Solar Reflectance	Thermal Emittance	SRI (Optional)	Aged Solar Reflectance	Thermal Emittance	SRI (Optional)			

NOTES:

- Any roof area covered by building integrated photovoltaic panels and solar thermal panels are exempt from the above Cool Roof requirements.
- Liquid field applied coatings must comply with installation criteria from section 110.8(i)4.

H. FENESTRATION/GLAZING AREAS ALLOWED (Section 150.2(a)1)									
01	02		03	04		05	06	07	08
Addition Type ft ²	Maximum Allowed Fenestration Area For All Orientations ft ²		Maximum Allowed West-Facing Fenestration Area Only ft ²		Maximum Allowed U-factor	Maximum Allowed SHGC	Comments		
	The Greater		The Greater						
	Maximum Calculated based on Allowed %	Maximum Calculated Allowed ft ²	Maximum Calculated based on Allowed %	Maximum Calculated Allowed ft ²					

STATE OF CALIFORNIA
ADDITIONS 1000 FT² OR LESS
 CEC-CF1R-ADD-01-E (Revised 06/14)



CERTIFICATE OF COMPLIANCE	CF1R-ADD-01-E
Prescriptive Residential Additions 1,000 ft ² or less	(Page 4 of 6)
Project Name:	Date Prepared:

I. FENESTRATION PROPOSED AREAS AND EFFICIENCIES												
01	02	03	04	05	06	07	08	09	10	11	12	13
Tag/ID	Fenestration Type	Frame Type	Dynamic Glazing	Orientation N, S, W, E or Roof	Number of Panes	Proposed Fenestration Area ft ²	Proposed West Facing Fenestration Area ft ²	Proposed U-factor	Proposed SHGC	Source	Exterior Shading Device	Combined SHGC from CF1R-ENV-03
14	Total Proposed Fenestration Area											
15	Maximum Allowed Fenestration Area											
16	Compliance Statement											
17	Total Propose West-Facing Fenestration Area											
18	Maximum Allowed West-Facing Fenestration Area											
19	Compliance Statement											
20	Proposed Fenestration U-factor											
21	Required Fenestration U-factor											
22	Compliance Statement											
23	Proposed Fenestration SHGC											
24	Required Fenestration SHGC											
25	Compliance Statement											

ADDITIONS 1000 FT² OR LESS

CEC-CF1R-ADD-01-E (Revised 06/14)



CERTIFICATE OF COMPLIANCE

CF1R-ADD-01-E

Prescriptive Residential Additions 1,000 ft² or less

(Page 5 of 6)

Project Name:

Date Prepared:

J. SPACE CONDITIONING(SC) SYSTEMS - HEATING/COOLING – SINGLE FAMILY DWELLING (Prescriptive section 150.2(b) or (Section 150.1(c)7).

01	02	03
Dwelling Unit Name	Dwelling Unit Total CFA = Sum of Existing + Addition (ft2)	Comments

K. WATER HEATING SYSTEMS (Section 150.1(c)8)

List water heaters and boilers for both domestic hot water (DHW) heaters and hydronic space heating.

01	02	03	04	05	06	07	08	09	10	11	12	13	14	15
Water Heating System ID or Name	Water Heating System Type	Water Heater Type	# of Water Heaters in system	Water Heater Storage Volume (gal)	Fuel Type	Rated Input Type	Rated input Value	Heating Efficiency Type	Heating Efficiency Value	Standby Loss (%)	Exterior Insul. R-Value	Back-Up Solar Savings Fraction	Central DHW System Distribution Type	Dwelling Unit DHW System Distribution Type

L. SPACE CONDITIONING SYSTEMS AND WATER HEATING SYSTEMS IN MULTIFAMILY DWELLING UNITS

01	02	03	04	05	06
Dwelling Unit Name	Dwelling Unit Total CFA = Sum of Existing + Addition (ft2)	Central Water Heating System Identification or Name	Dwelling Unit Water Heating System Identification or Name	Dwelling Unit: Installing a New Space Conditioning System?	Comments

DOCUMENTATION AUTHOR'S DECLARATION STATEMENT

Registration Number:
2008 Residential Compliance Forms

Registration Date/Time:

HERS Provider:

June 2014

STATE OF CALIFORNIA
ADDITIONS 1000 FT² OR LESS
 CEC-CF1R-ADD-01-E (Revised 06/14)



CERTIFICATE OF COMPLIANCE		CF1R-ADD-01-E
Prescriptive Residential Additions 1,000 ft ² or less		(Page 6 of 6)
Project Name:	Date Prepared:	

1. I certify that this Certificate of Compliance documentation is accurate and complete.	
Documentation Author Name:	Documentation Author Signature:
Company:	Signature Date:
Address:	CEA/ HERS Certification Identification (if applicable):
City/State/Zip:	Phone:
RESPONSIBLE PERSON'S DECLARATION STATEMENT	
<p>I certify the following under penalty of perjury, under the laws of the State of California:</p> <ol style="list-style-type: none"> 1. The information provided on this Certificate of Compliance is true and correct. 2. I am eligible under Division 3 of the Business and Professions Code to accept responsibility for the building design or system design identified on this Certificate of Compliance (responsible designer). 3. That the energy features and performance specifications, materials, components, and manufactured devices for the building design or system design identified on this Certificate of Compliance conform to the requirements of Title 24, Part 1 and Part 6 of the California Code of Regulations. 4. The building design features or system design features identified on this Certificate of Compliance are consistent with the information provided on other applicable compliance documents, worksheets, calculations, plans and specifications submitted to the enforcement agency for approval with this building permit application. 5. I will ensure that a registered copy of this Certificate of Compliance shall be made available with the building permit(s) issued for the building, and made available to the enforcement agency for all applicable inspections. I understand that a registered copy of this Certificate of Compliance is required to be included with the documentation the builder provides to the building owner at occupancy. 	
Responsible Designer Name:	Responsible Designer Signature:
Company :	Date Signed:
Address:	License:
City/State/Zip:	Phone:

For assistance or questions regarding the Energy Standards, contact the Energy Hotline at: 1-800-772-3300.

CF1R-ADD User Instructions

Minimum requirements for prescriptive addition compliance can be found in Building Energy Efficiency Standards Section 150.2(a), and Table 150.1-A (Package A). Completing these forms will require that you have the Reference Appendices for the 2013 Building Energy Efficiency Standards (P400-2012-005), which contain the Joint Appendices used to determine climate zone and to complete the section for opaque surfaces. When the term CF1R is used it means the CF1R-ADD-01. Worksheets are identified by their entire name and subsequently by only the worksheet number, such as WS-02.

Instructions for sections with column numbers and row letters are given separately.

If any part of the addition does not comply, prescriptive compliance fails, in which case the performance (or computer) compliance approach may be used in an attempt to achieve compliance. Only the new construction is required to meet the requirements specified in this documentation. If any alterations to the existing building are occurring, those are documented on one or more of the CF1R-ALT forms.

A. GENERAL INFORMATION

Project Name: Identifying information, such as owner's name.

Date: Date of document preparation.

Project Location: Legal street address of property or other applicable identifying information.

Compliance Method: Prescriptive.

CA City: Legal city/town of property.

Building Front Orientation: Building front expressed in degrees, where North = 0, East = 90, South = 180, and West = 270. The standards (section 100.1) include the following additional details for determining orientation:

- North is oriented to within 45 degrees of true north, including 45 degrees east of north;
- East is oriented to within 45 degrees of true east, including 45 degrees south of east;
- South is oriented to within 45 degrees of true south, including 45 degrees west of south;

- West is oriented to within 45 degrees of true west, including 45 degrees North of west.

Zip Code: 5-digit zip code for the project location (used to determine climate zone).

Number of Dwelling Units: 1 for single-family, 1 or more for multifamily.

Climate zone: From Joint Appendix JA2.1.1.

Fuel Type: Natural Gas, Liquefied Propane Gas, or Electricity. NOTE: prescriptive compliance only allows electricity if existing appliances are electric and natural gas is not available in the building.

Building Type: Single Family (includes duplex), or Multi Family (a building that shares common walls and common floors or ceilings).

Total Conditioned Floor Area: Enter the new conditioned floor area, in ft², as measured from the outside of exterior walls of the addition.

Project Scope: Check the size of the addition as being 300 ft² or less, greater than 300 up to 400 ft², greater than 400 up to 700 ft², or greater than 700 up to 1000 ft².

Slab Area: Area of the first floor slab of the addition (if any) in ft².

B. BUILDING INSULATION DETAILS (Section 150.2(b)1)

Additions of 700 ft² or less require only R-13 wall insulation. Unless otherwise noted, all other requirements of Package A are required when using prescriptive compliance.

1. Tag/ID: A label (if any) from the plans, such as A1.4 or wall.
2. Assembly Type: Roof, Ceiling, Wall, Floor over crawlspace or floor over exterior.
3. Frame type: Wood or Metal.
4. Frame Depth: Nominal dimensions (in inches) of framing material such as 2x4 or 2x6.
5. Frame Spacing: 16 or 24 (inches on center).
6. Cavity R-value: insulation installed between framing members. NOTE: Wall U-factor required for all climate zones is 0.065. This U-factors can be met by wood framed 2x4 walls with R-13 cavity + R5 continuous insulation (not interrupted by framing), R-15 cavity plus R-4 continuous insulation, or any combination of cavity and/or continuous insulation that results in a U-factor equal to or less than 0.065.

Continuous Insulation: R-value of rigid or continuous insulation (not interrupted by framing). See Table 4.3.4. of the Reference Appendices for metal frame construction.

7. U-factor: The U-factor for the proposed assembly must be less than or equal to column 10 or have an attached Area Weighted Average Calculation Worksheet (CF1R-ENV-01-E) to show that a weighted U-factor for multiple assemblies will meet the maximum value in column 10.
8. Appendix JA4 Table: Table number used to determine the R-value or U-factor (e.g., an attic assembly is 4.2.1).
9. Appendix JA4 Cell: Cell number used to determine the R-value or U-factor (e.g., an R-38 ceiling with 24-inch on center framing is A21).
10. Required U-factor: From Package A or from Section 150.2. Value required based on climate zone and assembly type.
11. Comments: Any notes regarding location, unique conditions, or attachments.

C. OPAQUE SURFACE DETAILS – Non-Framed

1. Tag/ID: A label (if any) from the plans, for example, A1.4 or wall.
2. Assembly Type: Roof, Wall.
3. Assembly materials: SIP OSB, SIP I-Joist, see JA4 for guidance.
4. Thickness: Thickness in inches.
5. Core Insulation R-value: Insulation installed within the materials or on the inside. See Joint Appendix JA4 for guidance.
6. Continuous Insulation R-value: Insulation installed on the exterior. See Joint Appendix JA4 for guidance.
7. U-factor: Proposed assembly U-factor from JA4 or CF1R-ENV-01-E. Must be less than or equal to column 10.
8. Appendix JA4 Table: Table number used to determine the R-value or U-factor (e.g., a SIP wall is 4.3.2).
9. Appendix JA4 Cell: Cell number used to determine the R-value or U-factor (e.g., a 4.5-inch thick OSB wall with R-18 core insulation and no continuous insulation is A5).
10. Required Assembly U-factor from Package A: Based on assembly type and climate zone.
11. Comments: Any notes regarding location, unique conditions, or attachments.

D. OPAQUE SURFACE DETAILS – Mass Walls

1. Tag/ID: A label (if any) from the plans, for example, A1.4 or wall.
2. Walls Above Grade: Yes or No.
3. Mass Type: ICF, Masonry. See JA4 for guidance.
4. Mass Thickness: Thickness (in inches) of mass.
5. Furring Strips Thickness: If furring strips are required to meet the wall R-value or U-factor shown in columns 12 through 15, indicate the thickness of the furring strip (in inches). See Table 4.3.14 of Joint Appendix 4.

6. Proposed Interior Insulation R-value or U-factor: Enter either the R-value or U-factor of proposed insulation on the inside surface of the mass wall. See column 10 for the required insulation value for the wall type selected. See JA4 for guidance. Use the same descriptor (R-value or U-factor) throughout Table D.
7. Proposed Exterior Insulation R-value or U-factor: Enter either the R-value or U-factor of proposed insulation on the outside surface of the mass wall. See column 11 for the required insulation value for the wall type selected. See JA4 for guidance.
8. Appendix JA4 Table: Table number used to determine the R-value or U-factor (e.g., an ICF wall is 4.3.13).
9. Appendix JA4 Cell: Cell number used to determine the R-value or U-factor (e.g., an 8-inch thick ICF wall with 2 inches of EPS (R-15.4) is A6).
10. Required Interior Insulation: The required R-value or U-factor (whichever descriptor was selected in column 6) for interior insulation will be completed based on the Table 150.1-A requirements for the wall type.
11. Required Exterior Insulation: The required R-value or U-factor (whichever descriptor was selected in column 7) for exterior insulation will be completed based on the Table 150.1-A requirements for the wall type.

E. SLAB INSULATION

Slab edge performance specifications and installation criteria are found in Sections 150.0(l) and 150.1(c)1D (Table 150.1-A). Requirements vary by climate zone and slab conditions.

1. Floor type: Types include slab-on-grade or raised slab.
 - Slab-on-grade floors require slab edge insulation in climate zone 16 only.
 - Raised slab must be insulated to R8 in climate zones 1, 2, 11, 13, 14 and 16, R-4 in climate zones 12 and 15, and no insulation is required in climate zones 3-10.
2. Proposed R-value: When required, insulation can be specified by either R-value or U-factor (use the same descriptor throughout Table E). When specifying an R-value complete column 2.
3. Proposed U-Factor: When required, specify the U-factor of proposed insulation in column 3.
4. Required Insulation R-value: Specify the value required, which will vary by climate zone and type of slab. Values are from Table 150.1-A.
5. Required Insulation U-factor: Specify the value required, which will vary by climate zone and type of slab. Values are from Table 150.1-A.
6. Comments: Any notes regarding location, unique conditions, or attachments.

NOTE: There is a mandatory slab edge insulation requirement for heated slab floors. Since mandatory requirements are not listed on the Certificate of Compliance, this is provided for information purposes only. The specific requirements are in Sections 110.8(g) and Table 110.8-A.

F. RADIANT BARRIER

1. Radiant Barrier installed below the roof deck and on all gable end walls: Yes or No. Radiant barriers are required in climate zones 2-15.
2. Comments: Any notes regarding location, unique conditions, or attachments.

Radiant barrier performance specifications and installation criteria are found in Sections 110.8(j) and 150.1(c)2, and in Residential Appendix RA4.2.1.

G. ROOFING PRODUCTS - COOL ROOF

Roofing requirements are found in Section 110.8(i) and 150.1(c)11. Depending on the climate zone and roof slope, a cool roof (defined as a minimum aged solar reflectance and thermal emittance, or a minimum SRI) may be required by Package A.

NOTE: Exceptions include (1) additions of 300 ft² or less, (2) low-slope roofs (pitch 2:12 or less) in climate zones 1-12, 14 and 16; (3) steep slope roof (pitch greater than 2:12) in climate zones 1-9 and 16; (4) roof constructions that have thermal mass over the roof membrane with at least 25 lb/ft²; and (5) any roof area covered by building integrated photovoltaic panels and solar thermal panels (the area of roof not covered by photovoltaic panels would still need to meet any applicable cool roof requirements).

1. Mass roof 25 lb ft² or greater: Mass roofs are not required to have a cool roof even if the climate zone specifies minimum performance requirements.
2. Roof Pitch: Expressed as 4:12, for example, which means the roof rises 4 foot within a span of 12 feet. When roofs have multiple pitches the requirements are based on the pitch of 50% or more of the roof.
3. Method of Compliance: indicate if the method of compliance is going to be based on Aged Solar Reflectance and Thermal Emittance or is it going to be based on the Solar Reflectance Index (SRI).
4. Product type: See Cool Roof Rating Council's directory. Generally product types include single-ply roof, wood shingles, asphalt roof, metal roof, tile roof.
5. The CRRC Product ID Number is obtained from the Cool Roof Rating Council's Rated Product Directory at www.coolroofs.org/products/results. Products are listed by manufacturer, brand, type of installation, roofing material, and color, as well as product performance.
6. Proposed Initial Solar reflectance: base on the product chosen from the Cool Roof Rating Council's Rated Product Directory. If using default assumption indicate NA since the Aged solar reflectance is available.
7. Proposed Aged Solar Reflectance: Value is from the Cool Roof Rating Council's Rated Product Directory. If the aged value is not available, calculate the calculated Aged Solar reflectance using the Solar Reflectance Index (SRI) Calculation worksheet located on the California

Energy Commission website or the aging equation $\rho_{aged} = [0.2 + \beta(\rho_{initial} - 0.2)]$, where $\rho_{initial}$ = the initial solar reflectance and soiling resistance β is listed by product type below.

VALUES OF SOILING RESISTANCE β BY PRODUCT TYPE

Product Type	CRRC Product Category	β
Field-Applied Coating	Field-Applied Coating	0.65
Other	Not A Field-Applied Coating	0.70

8. Proposed Thermal Emittance: From the product specification default value. If using a calculated SRI place the thermal emittance used to calculate SRI.
9. Proposed SRI: It is optional to meet either the SRI but if chosen to do so, use the Solar Reflectance Index (SRI) Calculation Worksheet found on the California Energy Commission website <http://www.energy.ca.gov/title24/>.
10. Minimum Required Aged Solar Reflectance: Based on climate zone and roof slope.
11. Minimum Required Thermal Emittance: Based on climate zone and roof slope.
Minimum SRI: Based on climate zone and roof slope.

If the cool roofing requirements will be met by a liquid field applied coating, Section 110.8(i)4 requires the coating be applied across the entire roof surface and meet the dry mil thickness or coverage recommended by the manufacturer.

H. FENESTRATION/GLAZING AREAS ALLOWED

Fenestration areas are expressed in square feet, not square inches.

The climate zone and size of the addition will affect the area of fenestration (also known as glazing) allowed. If limited to 20%, for example, this is calculated as Conditioned Floor Area (CFA) of the addition x 0.20 = Total ft² of fenestration allowed.

For additions that are 1000ft² or less but greater than 700ft², the limit of total fenestration is the greater of 175ft² or 20% of the CFA of the addition.

For additions that are 700ft² or less but greater than 400ft², the limit of total fenestration is the greater of 120ft² or 25% of the CFA of the addition.
For additions that are 400ft² or less, the limit of total fenestration is the greater of 75ft² or 30% of the CFA of the addition.

For additions that are 1000ft² or less, when west-facing fenestration is limited (in climate zones 2, 4, and 6-16), it is limited to either 70ft² (for additions greater than 700ft²) or 60ft² (for additions that are 700ft² or less).

1. Addition Type: Based on “Project Scope.” The addition’s area in square feet—whether ≤400, >400 to ≤700, or >700 to ≤1,000.

(2. through 7.—These fields will be completed based on conditioned floor area of the addition and/or climate zone. The values in these fields will be entered into Section I.)

Maximum allowed fenestration area for all orientations is the greater of the value in column 2 or 3:

2. Maximum Calculated based on Allowed %: The addition’s CFA times the allowed %. The maximum total fenestration area is 30% for additions up to 400 ft², 25% for additions greater than 400 ft² but no greater than 700 ft², and 20% for additions greater than 700 ft².
3. Maximum Calculated Allowed ft²: The maximum total fenestration area is 75ft² for additions up to 400 ft², 120ft² for additions greater than 400 ft² but no greater than 700 ft², and 175 ft² for additions of greater than 700 ft².

Maximum allowed west-facing area is the greater of the value in column 4 or 5:

4. Maximum Calculated based on Allowed %: The maximum west-facing fenestration area (in climate zones 2, 4, and 6-16) is 5% for additions greater than 700ft².
5. Maximum Calculated Allowed ft²: The maximum west-facing fenestration area (in climate zones 2, 4, and 6-16) is 60ft² for additions no greater than 700ft², and 70ft² for additions of greater than 700 ft².

Addition CFA:	≤ 400 ft ²		> 400 to ≤ 700 ft ²		> 700 to ≤ 1,000 ft ²	
	The Greater Of:		The Greater Of:		The Greater Of:	
Orientation	Percentage	Area (ft ²)	Percentage	Area (ft ²)	Percentage	Area (ft ²)
West-facing (CZs 2, 4, 6-16)	-	60	-	60	-	70
All Orientations	30%	75	25%	120	20%	175

NOTE: West includes any vertical fenestration oriented to within 45 degrees of true west (in either direction), including 45 degrees north of west, any skylights oriented west, and skylights facing any direction with a pitch of less than 1:12.

6. Maximum Allowed U-factor: Maximum area-weighted average of 0.32 for all climate zones.

7. Maximum Allowed SHGC: Maximum area-weighted average of 0.25 for climate zones 2, 4, and 6-16; otherwise N/A.

Comments: Any notes regarding location, unique conditions, or attachments.

I. FENESTRATION/GLAZING PROPOSED AREAS AND EFFICIENCIES

1. Tag/ID: Provide a name or designator for each unique type of fenestration surface. This designator should be used consistently throughout the plan set (elevations, finish schedules, etc.) such as Window-1, Skylight-1, etc. to identify each surface. It should also be consistently used on the other forms in the compliance documentation.
2. Fenestration Type: Indicate the type of fenestration construction e.g., Fixed Window, Operable Window, Greenhouse/Garden window, Curtain wall/Storefront, or Glazed Doors. For Skylights use: Glass Curb Mounted, Glass Deck Mounted, or Plastic Curb Mounted.

NOTE: Doors with glazing are counted in one of two ways. The entire door area of a door with 50% or more glazing is considered fenestration. A door with less than 50% glazing can be considered as all fenestration, or can be calculated as the actual glass area with a 2-inch (0.17 ft) frame all around.

3. Frame Type: Vinyl, wood, metal, metal thermal break, clad, fiberglass, or none.
4. Dynamic Glazing: Indicate whether the fenestration has an integrated shading device, chromogenic glazing, or none for no dynamic glazing. Chromogenic glazing shall be considered separately from other fenestration types.
5. Orientation: Orientation can be North, East, South, West or degrees. If documentation is for a building that may be built in any direction, in a climate zone that limits west-facing fenestration, complete this section assuming the side of the building with the most fenestration faces west.

NOTE: West includes any vertical fenestration oriented to within 45 degrees of true west, excluding 45 degrees south of west; any skylights oriented west; and skylights facing any direction with a pitch of less than 1:12.

6. Number of Panes: Indicate the number of panes for each Tag/ID; is it a single, double, or triple pane window? Enter either 1, 2, or 3 to represent the panes.
7. Proposed Fenestration Area ft²: The size of any windows, doors with glass, or skylights within the floor area of the addition (combine windows with the same characteristics). Indicate the area (in square feet) of each exterior fenestration type, including west-facing fenestration.
8. Proposed West Facing Area ft²: In climate zones 2, 4, and 6-16, enter the size of any west-facing windows, doors with glass, or skylights within the floor area of the addition. Indicate the area (in square feet) of each exterior west-facing fenestration type separately.
9. Proposed U-factor: Enter
 - (a) the NFRC U-factor based on the proposed brand and type of fenestration using National Fenestration Rating Council (www.nfrc.org) certified values, or
 - (b) the default value from Table 110.6-A, or
 - (c) the NA6.2 alternate default U-factor (for non-rated site-built fenestration only).

If any products (other than the exceptions noted below) have a higher U-factor than 0.32, first complete a CF1R-ENV-02-E to calculate the area-weighted average U-factor, which must be 0.32 or less, and attach it to the CF1R-ADD-01-E.

NOTES: (1) An exception allows up to 3 ft² of tubular skylights and up to 3 ft² of glazing in a door without having to meet the maximum U-factor; this field can be N/A. For up to 16 ft² of skylight area this value can be 0.55 or less.

(2) Dynamic glazing is a glazing system that changes its performance U-factor and SHGC based on the physical environment. Dynamic glazing includes chromogenic glazing or integrated shading systems (this does not include internally or externally mounted shading devices). If using dynamic glazing, use the lowest tested U-factor and SHGC in Columns 9 and 10.

10. Proposed SHGC: In climate zones 2, 4, and 6-16, enter

- (a) the NFRC SHGC based on the proposed brand and type of fenestration using National Fenestration Rating Council (www.nfrc.org) certified values, or
- (b) the default value from Table 110.6-B, or
- (c) the NA6.3 alternate default SHGC (for non-rated site-built fenestration only).

If any products (other than the exceptions noted below) have a higher SHGC than 0.25 in a climate zone with a maximum SHGC value, first complete a CF1R-ENV-02-E to calculate the area-weighted average SHGC, which must be 0.25 or less, and attach it to the CF1R-ADD-01-E.

NOTES: An exception allows up to 3 ft² of tubular skylights and up to 3 ft² of glazing in a door without having to meet the maximum SHGC; this field can be N/A. For up to 16 ft² of skylight area this value can be 0.30 or less.

11. Source: The source of the U-factor and SHGC data for the fenestration product—indicate whether NFRC, Tables 110.6-A and 110.6-B, or Equations NA6-1 and NA6-2.

12. Exterior Shading Device: If exterior shading devices are used to meet the SHGC requirement, indicate the type of device (from Table S-1 of CF1R-ENV-03-E Solar Heat Gain Coefficient Worksheet) and attach the CF1R-ENV-03-E.

NOTES: An exterior shading device is not used for products with an NFRC rated U-factor and SHGC based on a factory integrated shading device.

13. Combined SHGC From CF-1R-ENV-03: If exterior shading devices are combined with the SHGC value of the fenestration to meet the prescriptive SHGC requirements (as indicated in column I. 12), indicate the SHGC calculated on form CF1R-ENV-03 and attach the form for each window with an exterior shading device.

To determine compliance with allowable fenestration areas and efficiencies, complete rows 14-25. (When compliance requires HERS field verification and submittal to a HERS provider data registry these fields will be automatically completed; no user input required.)

14. Total Proposed Fenestration Area: The sum of column I. 7.
15. Maximum Allowed Fenestration Area: From section H., report the greater value of column 2 or 3.
16. Compliance Statement: Verify whether I. 14 is less than or equal to I. 15. Indicate Yes or No. If No, the project fails prescriptive compliance—specified fenestration areas must be reduced, or compliance may be attempted using the performance approach.
17. Total Proposed West-Facing Fenestration Area: The sum of column I. 8.
18. Maximum Allowed West-Facing Fenestration Area: From section H., report the greater value of column 4 or 5.
19. Compliance Statement: Verify whether I. 17 is less than or equal to I. 18. Indicate Yes or No. If No, the project fails prescriptive compliance—specified west-facing fenestration areas must be reduced, or compliance may be attempted using the performance approach.
20. Proposed Fenestration U-factor: If necessary, report the area-weighted average U-factor from the completed CF1R-ENV-02-E. Otherwise, report the largest value from column I. 9.
21. Required Fenestration U-factor: From section H., report the value of column 6.
22. Compliance Statement: Verify whether I. 20 is less than or equal to I. 21. Indicate Yes or No. If No, the project fails prescriptive compliance—specified fenestration U-factors must be reduced, or compliance may be attempted using the performance approach.
23. Proposed Fenestration SHGC: If necessary, report the area-weighted average SHGC from the completed CF1R-ENV-02-E. Otherwise, report the largest value from columns I. 10 and I. 13.
24. Required Fenestration SHGC: From section H., report the value of column 7.
25. Compliance Statement: Verify whether I. 23 is less than or equal to I. 24. Indicate Yes or No. If No, the project fails prescriptive compliance—specified fenestration SHGC must be reduced, or compliance may be attempted using the performance approach.

J. SPACE CONDITIONING SYSTEMS - HEATING/COOLING - SINGLE FAMILY DWELLING

If an existing space system will condition an addition, the prescriptive requirements do not apply to that system (Exception 4 to Section 150.2(a)). The enforcement agencies may require verification that the capacity of the existing heating system is adequate to meet the added load of the

additional conditioned floor area. Since there is no health and safety code requirement to provide cooling, the enforcement agency will not ask for verification that the capacity of the existing system is adequate to meet the added load of the additional conditioned floor area.

If a new system is installed complete a Certificate of Compliance for Alterations to Space Conditioning Systems (CF1R-ALT-02).

1. Dwelling Unit Name: Name of dwelling unit or any other identifying name.
2. Dwelling Unit Total CFA – sum of existing plus addition (ft²): total dwelling unit conditioned floor area in ft², as measured from the outside of exterior walls of the dwelling unit or building being altered.
3. Comments: Any notes regarding location or unique conditions.

K. WATER HEATING SYSTEMS FOR ADDITIONS

Water heating compliance for an addition is described in Section 150.2(a). When a water heater is added as part of an addition in single dwelling, a gas or propane water heater, with a storage tank of 60 gallons maximum, instantaneous can be used. Electric water heater can only be used if gas or propane is not available and no recirculation pump can be used.

1. Water Heating System Identification or Name: enter a unique name for the Water Heating System.
2. Water heating system type: Domestic Hot Water (DHW), Hydronic, Combined Hydronic, or Central. DHW is for domestic hot water, hydronic is a water heating system used for space heating only; combined hydronic are when the water heater will provide both space conditioning and domestic hot water.
3. Water heater type. For non-central systems only Small Storage or Small Instantaneous are allowed. For central systems pick from Large Storage, Small Storage, Heat Pump, Boiler, Large Instantaneous, Small Instantaneous or Indirect.
4. Number of water heaters in system: In single-family and multi-family with water heaters in each dwelling units the value is 1. For multi-family central systems serving multiple dwelling units enter the total number of water heaters.
5. Water heater volume (gal): tank capacity in gallons. For individual water heaters for a dwelling unit this will be 60 gallons or less. If instantaneous, enter n/a. For multi-family central systems enter the total storage volume.
6. Fuel Type: Gas, Propane, Electric (Only if natural gas is not available)
7. Rated Input Type: Enter the equipment input rating type, for gas or propane fired units are Btuh, for electric fired system the units are kW.
8. Rated Input Value: Enter the numeric value of rated input.
9. Heating Efficiency Type: Energy Factor, AFUE, or Thermal Efficiency. From product literature or a California Energy Commission directory.
10. Heating Efficiency Value: Enter the value from product literature or a California Energy Commission directory
11. Standby Loss (percent): Applies only to large storage water heaters, Enter n/a for small storage or instantaneous water heaters.
12. Exterior Insulation R-Value: Enter the R-value if exterior insulation on the storage tank is installed

13. Back-up solar savings fraction: If compliance requires a back-up solar system, indicate the solar contribution (e.g., 0.30). External calculations are required.
14. Central DHW Distribution System: For multi-family buildings with using a central distribution system a demand recirculation system with at least two distribution loops must be installed. This requirement applies to any building with eight or more units. If the system is non-central or project is individual units enter n/a.
15. Dwelling Unit DHW Distribution Type: For a Central DHW this field shall be Standard. If non-central then pick from Standard, Demand Recirculation – Manual Control, Demand Recirculation – Sensor Control. If non-central electric water heater this must be Standard, no recirculation system shall be installed.

L. SPACE CONDITIONING AND WATER HEATING IN MULTIFAMILY DWELLING UNITS

If an existing space system will condition an addition, the prescriptive requirements do not apply to that system (Exception 4 to Section 150.2(a)). The enforcement agencies may require verification that the capacity of the existing heating system is adequate to meet the added load of the additional conditioned floor area. Since there is no health and safety code requirement to provide cooling, the enforcement agency will not ask for verification that the capacity of the existing system is adequate to meet the added load of the additional conditioned floor area. If a new space conditioning system is installed complete a Certificate of Compliance for Alterations to Space Conditioning Systems (CF1R-ALT-02)

1. Dwelling Unit Name: enter one unique name for each of the number of dwelling units with additions as identified in Section A field 06.
2. Dwelling Unit Total CFA – sum of existing plus addition (ft²): total dwelling unit conditioned floor area in ft², as measured from the outside of exterior walls of the dwelling unit or building being altered.
3. Central Water Heating System Identification or Name: select one of the central DHW system names
4. Dwelling Unit Water Heating System Identification or Name: Select the applicable water heating system name(s) that were entered in section K or L. If more than one water heating system type is needed in the dwelling unit, enter another row of data for the dwelling unit and select the additional water heating system name.
5. Dwelling Unit: Installing a New Space Conditioning System?: If a new Space Conditioning system is planned to be installed, then enter yes, otherwise enter no.
6. Comments: Any notes regarding location or unique conditions.

DOCUMENTATION DECLARATION STATEMENTS

1. The person who prepared the CF1R will sign and complete the fields for their name, company (if applicable), address, phone number, certification information (if applicable), date and signature (may be electronic).
2. The person who is assuming responsibility for the project being built to comply with Title 24, Part 6, will complete the fields for their name, company (if applicable), address, phone number, license number (if applicable), date and signature (may be electronic).

REGISTRATION

The CF1R must be registered with a HERS provider prior to submitting for a building permit. See Residential Manual Section 2.1.1.

REFERENCES

1. Water Heaters:

Section 150.1(c) allows a limited number of conditions for water heating. If conditions other than these are proposed, the prescriptive compliance approach cannot be used:

- A. 150.1(c)8A one gas or propane storage water heater, up to 75,000 Btu/hour input (typically 50 gallons or less), with either no recirculating system or a demand recirculation system with manual controls. If the Energy Factor is less than or equal to the federal minimum, it must have an R-12 external wrap. See D. below.
- B. 150.1(c)8B one gas or propane instantaneous (tankless) water heater with an input of 200,000 Btu per hour or less, no storage tank, and either no recirculating system or a demand recirculation system with manual controls. .
- C. 150.1(c)8C a central water-heating system that has includes the following components (1) gas or propane water heaters, boilers or other water heating equipment, (2) a water heating recirculation loop that meets the requirements of Section 110.3(c)2 and Section 110.3(c)5 equipped with automatic controls for the recirculation pump based on measurement of hot water demand and hot water return temperature, and if more than 8 dwelling units, two recirculation loops each serving half of the building; (3) a solar water-heating system with a minimum solar savings fraction of 0.20 in climate zones 1 through 9 or a minimum solar savings fraction of 0.35 in climate zones 10 through 16 (installation criteria is in Reference Residential Appendix RA4).

- D. 150.1(c)8D if natural gas is not available, an electric-resistance storage or instantaneous water heater with addition criteria that it be located inside the conditioned space, it has no recirculation pumps, and has a solar water-heating system with a minimum solar savings fraction of 0.50 (installation criteria is in Reference Residential Appendix RA4).

ALTERATIONS TO SPACE CONDITIONING SYSTEMS

CEC-CF1R-ALT-02-E (Revised 06/14)



CERTIFICATE OF COMPLIANCE		CF1R-ALT-02-E
Alterations to Space Conditioning Systems (formerly CF1R-ALT-HVAC)		(Page 1 of 6)
Project Name:		Date Prepared:

A. GENERAL INFORMATION

CF1R-ALT-02 is applicable to multiple space conditioning systems contained within a single dwelling unit. When multiple dwelling units must be documented, use one CF1R-ALT-02 document for each dwelling unit.

01	Project Name:	02	Date Prepared:
03	Project Location:	04	Building Type:
05	CA City:	06	Dwelling Unit Name:
07	Zip Code:	08	Dwelling Unit CFA (ft2):
09	Climate Zone:	10	Number of space conditioning (SC) systems in this dwelling unit:

B. Space Conditioning (SC) System Information

01	02	03	04	05	06	07	08	09	10
SC System Identification or Name	SC System Location or Area Served	CFA served by this SC System (ft2):	Is the SC system a ducted system?	Installing a refrigerant containing component?	Installing new SC System components?	Installing more than 40 feet of ducts?	Installing entirely new duct system?	Installing entirely new SC system?	Alteration Type:

Registration Number: CA Building Energy Efficiency Standards - 2013 Residential Compliance	Registration Date/Time:	HERS Provider: June 2014
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ALTERATIONS TO SPACE CONDITIONING SYSTEMS

CEC-CF1R-ALT-02-E (Revised 06/14)



CERTIFICATE OF COMPLIANCE

CF1R-ALT-02-E

Alterations to Space Conditioning Systems (formerly CF1R-ALT-HVAC)

(Page 2 of 6)

Project Name:

Date Prepared:

C. Extension of Existing Duct System, Greater Than 40 Feet (Section 150.2(b)1Diib)

01	02	
System Identification or Name	New Duct R-Value	
<<First system of Type C in Section B above>>	<<calculated field: if A09= CZ 1-10, 12, 13, then "R-6."; else if A09=CZ 11, 14-16 then "R-8.">>	

Required Documentation:
 CF2R-MCH-01-E - Space Conditioning Systems Ducts and Fans
 -Duct insulation requirement for new plenums: R6.
 CF2R & CF3R-MCH-20-H – Duct Leakage Verification
 - Leakage rate compliance: ≤ 15%, or ≤ 10% leakage to outside, or seal all accessible leaks
Exceptions:
 Existing duct systems constructed, insulated or sealed with asbestos are exempt from MCH-20 duct leakage testing requirements

Registration Number:

CA Building Energy Efficiency Standards - 2013 Residential Compliance

Registration Date/Time:

HERS Provider:

June 2014

ALTERATIONS TO SPACE CONDITIONING SYSTEMS

CEC-CF1R-ALT-02-E (Revised 06/14)



CERTIFICATE OF COMPLIANCE		CF1R-ALT-02-E
Alterations to Space Conditioning Systems (formerly CF1R-ALT-HVAC)		(Page 3 of 6)
Project Name:	Date Prepared:	

D. Altered Space Conditioning System (Sections 150.2(b)1E and F)											
01	02	03	04	05	06	07	08	09	10	11	12
System Identification or Name	Heating System Type	Altered Heating Component	Heating Efficiency Type	Heating Minimum Efficiency Value	Cooling System Type	Altered Cooling Components	Cooling Efficiency Type	Cooling Minimum Efficiency Value	Required Thermostat Type	New or Replaced Duct Length	New Duct R-Value
<p>Required Documentation:</p> <ul style="list-style-type: none"> CF2R-MCH-01-E - Space Conditioning Systems Ducts and Fans -Duct insulation requirement for new plenums: R6. CF2R & CF3R-MCH-20-H – Duct Leakage testing required when heating or cooling components are installed in ducted systems, or when more than 40 ft of duct length is replaced. -Leakage rate compliance: ≤ 15%, or ≤ 10% leakage to outside, or seal all accessible leaks. CF2R & CF3R-MCH-25-H Refrigerant Charge Verification required when refrigerant containing components are installed or altered (applicable in CZ 2, 8-15). CF2R & CF3R-MCH-23 Air Flow ≥ 300 CFM/ton required when MCH-25 is required. <p>Exceptions:</p> <ul style="list-style-type: none"> -Duct systems registered with HERS provider as previously sealed are exempt from MCH-20 Duct Leakage Testing requirements. -Heating-only systems and Air Handler/Furnace changes do not require verification of Air Flow MCH-23, or Refrigerant Charge MCH-25. -Existing duct systems constructed, insulated or sealed with asbestos are exempt from MCH-20 Duct Leakage Testing requirements. 											

Registration Number: CA Building Energy Efficiency Standards - 2013 Residential Compliance	Registration Date/Time:	HERS Provider: June 2014
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ALTERATIONS TO SPACE CONDITIONING SYSTEMS

CEC-CF1R-ALT-02-E (Revised 06/14)



CERTIFICATE OF COMPLIANCE

CF1R-ALT-02-E

Alterations to Space Conditioning Systems (formerly CF1R-ALT-HVAC)

(Page 4 of 6)

Project Name:

Date Prepared:

E. Entirely New or Complete Replacement Duct System, with or without Equipment Changeout (Sections 150.2(b)1Diia and 150.2(b)1E, F)

01	02	03	04	05	06	07	08	09	10	11
System Identification or Name	Heating System Type	Altered Heating Component	Heating Efficiency Type	Heating Minimum Efficiency Value	Cooling System Type	Altered Cooling Components	Cooling Efficiency Type	Cooling Minimum Efficiency Value	Required Thermostat Type	New Duct R-Value

Required Documentation:

CF2R-MCH-01-E - Space Conditioning Systems Ducts and Fans

-Duct insulation requirement for new plenums: R6.

CF2R & CF3R-MCH-20-H Duct Leakage Verification required.

-Leakage rate compliance: ≤ 6%.

CF2R & CF3R-MCH-22 Fan Efficacy Verification

CF2R & CF3R-MCH-23 System Air Flow Rate Verification

-Compliance: Fan Efficacy ≤ 0.58 W/cfm and System Airflow ≥ 350 cfm/ton.

- Alternative Compliance: CF2R & CF3R-MCH-28 Return Duct Design Verification is an alternative to MCH-22 and MCH-23 verification.

CF2R & CF3R-MCH-25-H Refrigerant Charge Verification required when refrigerant containing components are installed or altered (applicable in CZ 2, 8-15).

Exceptions:

Heating-only systems are exempt from the 0.58 W/cfm and 350 cfm/ton requirements.

Note:

An "entirely new or replacement duct system" means at least 75 percent of the duct system is new duct material, and up to 25 percent may consist of reused parts from the dwelling unit's existing duct system (e.g., registers, grilles, boots, air handler, coil, plenums, duct material) if the reused parts are accessible and can be sealed to prevent leakage

Registration Number:

CA Building Energy Efficiency Standards - 2013 Residential Compliance

Registration Date/Time:

HERS Provider:

June 2014

ALTERATIONS TO SPACE CONDITIONING SYSTEMS

CEC-CF1R-ALT-02-E (Revised 06/14)



CERTIFICATE OF COMPLIANCE	CF1R-ALT-02-E
Alterations to Space Conditioning Systems (formerly CF1R-ALT-HVAC)	(Page 5 of 6)
Project Name:	Date Prepared:

F. Entirely New or Complete Replacement Space Conditioning System (Section 150.2(b)1C)										
01	02	03	04	05	06	07	08	09	10	11
System Identification or Name	Heating System Type	Altered Heating Component	Heating Efficiency Type	Heating Minimum Efficiency Value	Cooling System Type	Altered Cooling Components	Cooling Efficiency Type	Cooling Minimum Efficiency Value	Required Thermostat Type	New Duct R-Value

Required Documentation:
 CF2R-MCH-01-E - Space Conditioning Systems Ducts and Fans
 -Duct insulation requirement for new plenums: R6.
 CF2R & CF3R-MCH-20-H Duct Leakage Verification required.
 -Leakage rate compliance: ≤ 6%.
 CF2R & CF3R-MCH-22 Fan Efficacy Verification
 CF2R & CF3R-MCH-23 System Air Flow Rate Verification
 -Compliance: Fan Efficacy ≤ 0.58 W/cfm and System Airflow ≥ 350 cfm/ton.
 - Alternative Compliance: CF2R & CF3R-MCH-28 Return Duct Design Verification is an alternative to MCH-22 and MCH-23 verification.
 CF2R & CF3R-MCH-25-H Refrigerant Charge Verification required when refrigerant containing components are installed or altered (applicable in CZ 2, 8-15).
Exceptions:
 Heating-only systems are exempt from the 0.58 W/cfm and 350 cfm/ton requirements.
Note:
 An "entirely new or replacement duct system" means at least 75 percent of the duct system is new duct material, and up to 25 percent may consist of reused parts from the dwelling unit's existing duct system (e.g., registers, grilles, boots, air handler, coil, plenums, duct material) if the reused parts are accessible and can be sealed to prevent leakage

Registration Number: CA Building Energy Efficiency Standards - 2013 Residential Compliance	Registration Date/Time:	HERS Provider: June 2014
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ALTERATIONS TO SPACE CONDITIONING SYSTEMS

CEC-CF1R-ALT-02-E (Revised 06/14)

CALIFORNIA ENERGY COMMISSION

**CERTIFICATE OF COMPLIANCE**

CF1R-ALT-02-E

Alterations to Space Conditioning Systems (formerly CF1R-ALT-HVAC)

(Page 6 of 6)

Project Name:

Date Prepared:

DOCUMENTATION AUTHOR'S DECLARATION STATEMENT

1. I certify that this Certificate of Compliance documentation is accurate and complete.

Documentation Author Name:

Documentation Author Signature:

Company:

Signature Date:

Address:

CEA/ HERS Certification Identification (if applicable):

City/State/Zip:

Phone:

RESPONSIBLE PERSON'S DECLARATION STATEMENT

I certify the following under penalty of perjury, under the laws of the State of California:

1. The information provided on this Certificate of Compliance is true and correct.
2. I am eligible under Division 3 of the Business and Professions Code to accept responsibility for the building design or system design identified on this Certificate of Compliance (responsible designer).
3. That the energy features and performance specifications, materials, components, and manufactured devices for the building design or system design identified on this Certificate of Compliance conform to the requirements of Title 24, Part 1 and Part 6 of the California Code of Regulations.
4. The building design features or system design features identified on this Certificate of Compliance are consistent with the information provided on other applicable compliance documents, worksheets, calculations, plans and specifications submitted to the enforcement agency for approval with this building permit application.
5. I will ensure that a registered copy of this Certificate of Compliance shall be made available with the building permit(s) issued for the building, and made available to the enforcement agency for all applicable inspections. I understand that a registered copy of this Certificate of Compliance is required to be included with the documentation the builder provides to the building owner at occupancy.

Responsible Designer Name:

Responsible Designer Signature:

Company :

Date Signed:

Address:

License:

City/State/Zip:

Phone:

Registration Number:

CA Building Energy Efficiency Standards - 2013 Residential Compliance

Registration Date/Time:

HERS Provider:

June 2014

Instructions to fill out CF1R-ALT-02-E

Minimum requirements for prescriptive HVAC alteration compliance can be found in Building Energy Efficiency Standards Section 150.2(b)1C.

Completing these forms will require that you have the 2013 Reference Appendices for the 2013 Building Energy Efficiency Standards.

When the term CF1R is used, it is referencing the CF1R-ALT-02. Worksheets are identified by their entire name, and subsequently by only the worksheet number, such as WS-02.

Instructions for sections with column numbers and row numbers are given separately.

If any part of the alteration does not comply with the prescriptive requirements, prescriptive compliance fails and the performance compliance approach must be used.

A. GENERAL INFORMATION

1. **Project Name:** If the project utilizes a CF1R-ALT-01 (or CF1R-ADD-01), this field will reference the same field on that document for consistency. If not, enter a unique project identifier such as the house number and street name or example: "Jones' Furnace Change out."
2. **Date Prepared:** If the project utilizes a CF1R-ALT-01 (or CF1R-ADD-01), this field will reference the same field on that document for consistency. If not, enter the date of document preparation.
3. **Project Location:** If the project utilizes a CF1R-ALT-01 (or CF1R-ADD-01), this field will reference the same field on that document for consistency. If not, enter the legal street address of property or other applicable identifying information.
4. **Building Type:** If the project utilizes a CF1R-ALT-01 (or CF1R-ADD-01), this field will reference the same field on that document for consistency. If not, enter the building type from the list: Single Family (includes duplex), or Multifamily (a building that shares common walls and common floors or ceilings).
5. **CA City:** If the project utilizes a CF1R-ALT-01 (or CF1R-ADD-01), this field will reference the same field on that document for consistency. If not, enter the legal city/town of property.
6. **Dwelling Unit Name:** Enter a unique dwelling unit name or any other identifying name that would readily distinguish this dwelling unit from others in this project. Primarily needed for multi-family dwellings. For one-dwelling projects, use project name or another logical identifier.
7. **Zip Code:** If the project utilizes a CF1R-ALT-01 (or CF1R-ADD-01), this field will reference the same field on that document for consistency. If not, enter the 5-digit zip code for the project location (used to determine climate zone).
8. **Dwelling Unit CFA (ft²):** If the project utilizes a CF1R-ALT-01 (or CF1R-ADD-01), this field will reference the same field on that document for consistency. For one-dwelling projects, this field will equal the conditioned floor area (CFA) on that document. For multi-dwelling projects, this field will sum with other dwelling units to equal the total CFA on that document. If this project does not utilize a CF1R-ALT-01 (or CF1R-

ADD-01), enter the conditioned floor area in ft² of the project. If multiple systems are being affected, a CFA value will be assigned to each system in Section B. Those must sum to this total for the project. For projects NOT involving all systems in the dwelling, this is the CFA of only the portion of the dwelling unit affected.

9. Climate Zone: If the project utilizes a CF1R-ALT-01 (or CF1R-ADD-01), this field will reference the same field on that document for consistency. If not, select the correct climate zone for the project. From Joint Appendix JA2.1.1 of the 2013 Reference Appendices.
10. Number of Space Conditioning (SC) Systems in this dwelling unit : Enter the number of space conditioning systems in the dwelling unit.

B. Space Conditioning (SC) System Information (Section 150.2(b)1C)

1. SC System Identification or Name: Enter a unique identifier for this system that will readily distinguish it from other systems in the dwelling unit, such as "HVAC1," "upstairs system," etc. It is recommended to mark the system with this identifier using a permanent marker for ease of identification in the field. For single-system dwelling units, enter a simple name such as "HVAC."
2. SC System Location or Area Served: Enter a unique description of the portion of dwelling unit served by this system, such as "entire second floor," "bedroom wing," etc. For single-system dwelling units, enter a simple description such as "entire house."
3. CFA served by this SC System (ft²): Enter the CFA served by this system.
4. Is the altered or installed system a ducted system? Select "YES" if the system has a central air handler (package or split) that is connected to one or more supply air outlets via ducting of any shape or material. Select "NO" for nonducted systems such as ductless mini-splits, through-the-wall systems, package terminal air conditioners, etc.
5. Altering or installing a refrigerant containing component? Select "YES" if the project includes installing or replacing a component that contains refrigerant; otherwise select "NO." Refrigerant containing components include compressors, condensing coils, evaporator coils, refrigerant metering devices or refrigerating lines.
6. Installing new components? Select "YES" if new HVAC components such as a packaged unit, condensing unit, cooling/heating coil, or air-handling unit (e.g. furnace), etc. are being installed in the system; otherwise select "NO."
7. Installing more than 40 linear feet of new or replacement ducts? Select "YES" if the project involves installing more than 40 linear feet of new or replacement ducts; otherwise select "NO."
8. Is the entire duct system accessible for sealing and is more than 75% of the duct system new or replaced? Select "YES" when, upon completion of the project, more than 75% of the ducts will be new ducts and/or replaced ducts, AND if at any time during the project all of the ducts are accessible for duct sealing; otherwise select "NO." "Accessible" is defined in Joint Appendix JA1 of the 2013 Reference Appendices (glossary).

9. Are all of the system's components and ducts new (entirely new system) or replaced? Select "YES" if the duct system meets the definition of an "Entirely New or Replacement Duct System" and all of the heating and cooling components (furnace, condenser, coil, etc.) are all new or replaced; otherwise select "NO."
10. Alteration Type: This field is calculated automatically based on the information entered in previous fields. Alteration types are defined in Joint Appendix JA1 of the 2013 Reference Appendices. The alteration type will determine which of the following sections are required by this document.

C. Extension of Existing Duct System, Greater Than 40 Feet (Section 150.2(b)1Diib)

1. System Identification or Name: This field is automatically filled from entries in Section B.
2. New Duct R-value: This field is automatically calculated based on the climate zone selected in Section A. It represents the minimum R-value required. The installed R-value shown on the installation certificate (CF2R) must meet or exceed this value.

D. Altered Space Conditioning System (Sections 150.2(b)1E and F)

1. System Identification or Name: This field is automatically filled from entries in Section B.
2. Heating System Type: Select the most appropriate heating system type from the list. If the type of system to be installed does not appear on the list, please contact the California Energy Commission Hotline at 800-772-3300.
3. Altered Heating Component: Select the most appropriate heating system components from the list that are being added or replaced as part of this project. You can select multiple choices if needed. If the type of component being altered does not appear on the list, please contact the California Energy Commission Hotline at 800-772-3300.
4. Heating Efficiency Type: Select the heating efficiency type from the list that is appropriate to the type of system being altered or installed.
5. Heating Minimum Efficiency Value: This field is filled automatically based on selections in previous fields. This field represents the minimum efficiency to be installed. The actual installed efficiency may be higher and will be recorded on the Installation Certificate (CF2R). Optional: the user may enter a higher-than-default value for situations where local codes or programs require a higher minimum efficiency value.
6. Cooling System Type: Select the most appropriate cooling system type from the list. If the type of system to be installed does not appear on the list, please contact the California Energy Commission Hotline at 800-772-3300.
7. Altered Cooling Components: User chooses as many as are applicable: Select the most appropriate cooling system components from the list that are being added or replaced as part of this project. You can select multiple choices if needed. If the type of component being altered does not appear on the list, please contact the California Energy Commission Hotline at 800-772-3300.
8. Cooling Efficiency Type: Select the cooling efficiency type from the list that is appropriate to the type of system being altered or installed.
9. Cooling Minimum Efficiency Value: This field is filled automatically based on selections in previous fields. This field represents the minimum efficiency to be installed. The actual installed efficiency may be higher and will be recorded on the Installation Certificate (CF2R). Optional: the user may enter a higher-than-default value for situations where local codes or programs require a higher minimum.

10. Required Thermostat Type: This field is filled automatically based on selections in previous fields. If “setback” appears here, a setback thermostat meeting the minimum requirements of Section 150.0(i) is required to be installed as part of this project.
11. New or Replaced Duct Length: Select the descriptor that describes the amount of duct, at the completion of the project, that is added or replaced as part of this project.
12. New Duct R-value: This field is filled automatically based on the entries in previous fields and the climate zone of the project.

E. Entirely New or Complete Replacement Duct System, with or without Equipment Changeout (Sections 150.2(b)1Diia and 150.2(b)1E, F)

1. System Identification or Name: This field is automatically filled from entries in Section B.
2. Heating System Type: Select the most appropriate heating system type from the list. If the type of system to be installed does not appear on the list, please contact the California Energy Commission Hotline at 800-772-3300.
3. Altered Heating Component: Select the most appropriate heating system components from the list that are being added or replaced as part of this project. You can select multiple choices, if needed. If the type of component being altered does not appear on the list, please contact the California Energy Commission Hotline at 800-772-3300
4. Heating Efficiency Type: Select the heating efficiency type from the list that is appropriate to the type of system being altered or installed.
5. Heating Minimum Efficiency Value: This field is filled automatically based on selections in previous fields. This field represents the minimum efficiency to be installed. The actual installed efficiency may be higher and will be recorded on the Installation Certificate (CF2R). Optional: the user may enter a higher-than-default value for situations where local codes or programs require a higher minimum.
6. Cooling System Type: Select the most appropriate cooling system type from the list. If the type of system to be installed does not appear on the list, please contact the California Energy Commission Hotline at 800-772-3300.
7. Altered Cooling Components: User chooses as many as that are applicable: Select the most appropriate cooling system components from the list that are being added or replaced as part of this project. You can select multiple choices, if needed. If the type of component being altered does not appear on the list, please contact the California Energy Commission Hotline at 800-772-3300
8. Cooling Efficiency Type: Select the cooling efficiency type from the list that is appropriate to the type of system being altered or installed.
9. Cooling Minimum Efficiency Value: This field is filled automatically based on selections in previous fields. This field represents the minimum efficiency to be installed. The actual installed efficiency may be higher and will be recorded on the Installation Certificate (CF2R). Optional: the user may enter a higher-than-default value for situations where local codes or programs require a higher minimum.
10. Required Thermostat Type: This field is filled automatically based on selections in previous fields. If “setback” appears here, a setback thermostat meeting the minimum requirements is required to be installed as part of this project.
11. New Duct R-value: This field is filled automatically based on the entries in previous fields and the climate zone of the project.

F. Entirely New or Complete Replacement Space Conditioning System (Section 150.2(b)1C)

1. System Identification or Name: This field is automatically filled from entries in Section B.
2. Heating System Type: Select the most appropriate heating system type from the list. If the type of system to be installed does not appear on the list, please contact the California Energy Commission Hotline at 800-772-3300.
3. Altered Heating Component: This field is automatically filled.
4. Heating Efficiency Type: Select the heating efficiency type from the list that is appropriate to the type of system being altered or installed.
5. Heating Minimum Efficiency Value: This field is filled automatically based on selections in previous fields. This field represents the minimum efficiency to be installed. The actual installed efficiency may be higher and will be recorded on the Installation Certificate (CF2R). Optional: the user may enter a higher-than-default value for situations where local codes or programs require a higher minimum.
6. Cooling System Type: Select the most appropriate cooling system type from the list. If the type of system to be installed does not appear on the list, please contact the California Energy Commission Hotline at 800-772-3300.
7. Altered Cooling Components (user chooses as many as that are applicable): Select the most appropriate cooling system components from the list that are being added or replaced as part of this project. You can select multiple choices, if needed. If the type of component being altered does not appear on the list, please contact the California Energy Commission Hotline at 800-772-3300.
8. Cooling Efficiency Type: Select the cooling efficiency type from the list that is appropriate to the type of system being altered or installed.
9. Cooling Minimum Efficiency Value: This field is filled automatically based on selections in previous fields. This field represents the minimum efficiency to be installed. The actual installed efficiency may be higher and will be recorded on the Installation Certificate (CF2R). Optional: the user may enter a higher-than-default value for situations where local codes or programs require a higher minimum.
10. Required Thermostat Type: This field is filled automatically based on selections in previous fields. If “setback” appears here, a setback thermostat meeting the minimum requirements is required to be installed as part of this project.
11. New Duct R-value: This field is filled automatically based on the entries in previous fields and the climate zone of the project.